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Disability And Algorithmic Risks: From Misuse and Silos to Policing, Law Enforcement and Unacceptable Risk Systems

Public letter and call-to-action



Disability And Algorithmic Risks: From Misuse and Silos to Policing, Law Enforcement and Unacceptable Risk Systems

Emerging AI policies and frameworks such as the EU AI Act make an attempt to categorize AI systems based on risks, related compliance frameworks and explanations. Such mechanisms are aimed at both regulating and facilitating a human-centered approach to AI systems development, connecting stakeholders and broader society. However, existing approaches to understanding high and unacceptable-risk systems still miss disability-specific vocabulary, scenarios and associated risks, categorization of impairments, spectrums, actions and non-actions, and complex understanding of intersectionality behind it. It includes not only the areas of law enforcement, police, biometrical and public security systems, but less covered areas of silos, misuse or manipulation presented by autonomous systems.

Disability - from exclusion and silos to injustice

Historically, individuals with disabilities were excluded from the workplace, educational system, and sufficient medical support. For instance, <u>around 50-80%</u> of the population with disabilities¹ are not employed full time, <u>50% of children</u> with disabilities in low- and middle-income countries are still not enrolled in school, public spaces meet only <u>41.28% to 95%</u> of the expectations of people with disabilities, and only <u>10% of the population</u> have access to assistive technologies. For cognitive disabilities, the level of discrimination is even higher. The unemployment rate among those with <u>autism may reach 85%</u>, dependent on the country; while among people with severe mental health disorders, <u>it can be between 68%-83%</u>, and for those with <u>Down's syndrome</u>, <u>43%</u>.

Along with exclusion, individuals with disabilities are disproportionally affected by unjust law enforcement, violence and brutality. Persons with disabilities were victims of 26% of all nonfatal

https://www.un.org/development/desa/disabilities/resources/factsheet-on-persons-with-disabilities/disability-and-employment.html

 $^{^2\ \}text{https://www.researchgate.net/publication/341493122_Disability-friendly_public_space_performance}$

³ https://link.springer.com/article/10.1007/s40489-014-0041-6

⁴ https://bmcpsychology.biomedcentral.com/articles/10.1186/s40359-020-00399-0

violent crime. 30-50% of individuals subject to the use of force or killed by police have a disability. People with intellectual disabilities are <u>seven times more likely</u> to be sexually assaulted than members of the general population. About one-third of young children and teenagers with disabilities faced emotional and physical abuse.

As for conflicts and crises, people with disabilities are also recognized as among the most marginalized and at-risk population. An estimated 9.7 million people with disabilities are forcibly⁵ displaced as a result of conflict and persecution and are victims of human rights violations and conflict-related violence. As a result, these groups are also more affected by post-traumatic disorders and conditions.

Finally, there is a strong component of intersectionality behind disabilities that may amplify this exclusion and discrimination, including aspects of gender, ethnicity, cooccurring conditions and socioeconomic factors. For instance, individuals with learning disabilities also experience mental health problems, with estimates suggesting that between 25 and 40% fall into this category. Girls are often diagnosed at a much lower rate than boys, with a ratio of 4:1, and may also be misdiagnosed due to different manifestations. Certain ethnic and social groups have been historically excluded from research data and resources. For instance, it was found that Caucasian parents of autistic children were 2.61 times more likely to report any social concerns to their child's pediatrician than African-American parents (Georgia State University, 2017)

AI, Data Sets and Disability Support

It's important to highlight that ethically developed and implemented assistive technologies can eliminate particular social barriers and create more accessible workplaces, hiring and learning experiences, and accommodation practices.

For instance, In order to support physical impairments, AI algorithms can be used to augment smart wheelchairs, walking sticks, geolocation and city tools, bionic and rehabilitation technologies. In the case of sensory impairments, it includes facial and sign recognition for sign language identification and support of deaf individuals, and computer vision algorithms that can interpret images and videos and then translate that information into braille or audio output to help individuals with visual impairments.

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⁵ https://www.hrw.org/news/2018/12/03/un-wars-impact-people-disabilities

 $^{^{6}\ \}text{https://www.learningdisabilities.org.uk/learning-disabilities/help-information/learning-disability-statistics-/187699}$

⁷ https://journals.sagepub.com/doi/10.1177/1362361317722030

In the area of cognitive impairments, it includes social robotics and algorithms for emotional training for students with autism, wearables and devices that improve emotion recognition, and adaptive platforms that support dyslexia and attention deficit and hyperactivity disorders. Such technologies can serve to support the general population as well, including further advancement of healthcare, education, labor and city systems, and support of elders, neurodivergent groups and individuals with psycho-emotional disorders.

Disability, bias and autonomous systems

Algorithms do not create biases themselves but perpetuate societal inequities and cultural prejudices. The reasons behind it include lack of access to data for target populations, due to historical exclusion from research and statistics, simplification and generalization of the target group's parameters (proxies), and unconscious and conscious bias within the society.

For instance, AI systems are known to discriminate against individuals with facial differences or asymmetry, different gestures, gesticulation, speech impairment, or different communication patterns. It especially affects groups with physical disabilities⁸, cognitive and sensory impairments, and autism spectrum disorders. There are examples of direct life-threatening scenarios when police and autonomous security systems⁹, or military AI may falsely recognize assistive devices as a weapon or dangerous objects, or misidentify facial or speech patterns. These concerns were raised by <u>UN Special Rapporteur¹⁰ on the Rights of Persons with Disabilities</u>, disability organizations such as EU Disability Forum.

There are a variety of physical, cognitive and social parameters that may lead to discrimination against individuals with disabilities:

- Assistive tools and devices individuals with disabilities may use a wheelchair, walking stick, rehabilitation or assistive devices, bionic hands or legs, or other tools and devices of different shapes, forms and patterns that may not be properly recognized by autonomous systems;
- Assistance and users solutions, addressing individuals with disabilities frequently involves not only one end-user but an "ecosystem" of users, such as family members, and caregivers. For instance, specialized solutions for autism frequently involve two

⁸ https://disabilitystudies.nyu.edu/disability-bias-and-ai-report/

 $^{^{9}\ \}text{https://international-review.icrc.org/articles/the-risks-of-autonomous-weapons-analysis-centred-on-rights-of-persons-with-disabilities-922}$

 $^{^{10}~}https://documents-dds-ny.un.org/doc/UNDOC/GEN/N22/433/14/PDF/N2243314.pdf?OpenElement$

- interfaces one for the parent, and one for the child. Public and city systems may not take it into consideration;
- Physical impairments. A person with a disability may lack particular limbs, or have different body shape, posture, and movement pattern, making it more difficult for proper recognition;
- Visual impairments. Blind persons and those with a visual impairment may not not properly understand visual cues given by automated systems;
- Hearing impairments. Individuals with hearing impairments may not hear and comply with audible commands or warnings, making it especially cautions for police and lawenforcement systems;
- Speech impairments. Neurological conditions may affect speech and the ability to communicate, thus not meeting "typical" speech patterns;
- Cognitive impairments. Individuals with cognitive disabilities may communicate differently, lack emotional recognition or social skills;
- Behavioral and psychomotor patterns individuals with disabilities may exhibit a different pattern of user behavior related to attention span, activities and cognitive parameters;
- Facial recognition that may not identify persons with eye deviation or facial neuropathy;
- Tactile recognition that is built on the assumption that everyone has hands, fingers, and fingerprints and has similar tactile parameters excludes many individuals with disabilities
- Semantic, intersectional, age and other biases systems may add negative connotations to disability keywords for individuals of particular ethnicities. Besides, algorithms may perpetuate <u>existing ageism</u>¹¹

Each parameter alone or in combination with others may lead to greater risks presented by autonomous systems. In order to better categorize risks for individuals with disabilities, we should refer to existing methodologies and policies.

High and increased risk systems and scenarios

There are different approaches to the categorization of risks associated with AI systems. For instance, the European AI Act introduces four risk levels (unacceptable, high, limited, and minimal) of such systems and related compliance practices. The category of unacceptable risk relates to public scoring and biometric systems which in most cases are prohibited. High risks systems include transportation, public and private services, hiring, screening and education

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¹¹ https://link.springer.com/article/10.1007/s00146-022-01553-5

platforms. Such solutions require conformity assessment and audit of the system's safety, privacy, robustness, and impact.

The limited risk category relates to chatbots, conversational AI, and emotion recognition and requires transparency assessment. In this case, users should be aware that they are interacting with a machine so they can take an informed decision to continue or step back. Finally, the category of minimal risk includes AI-enabled video games, internet filters and systems that do not involve sensitive data collection. Many countries including the United States, Japan, China are working to update their vision of the categorization of AI risks and related regulations.

It's important to highlight, that existing AI policies typically present a generalized vision of risks. It doesn't specify the risks for particular groups such as marginalized and low-income communities, individuals with disabilities or groups presented with autism-spectrum disorders. Due to the historical exclusion, and different physical or cognitive patterns, the same algorithms may pose much greater risks, specifically in cases of law enforcement, public systems, scenarios of misuse, manipulation, silos.

In particular, such disability-specific high-risk categories include:

Police and law enforcement, autonomous weapons. 30-50% of individuals with disabilities are subject to the use of force or police brutality. All systems rapidly emerge in the area of law enforcement, policing, public security systems and may perpetuate these social challenges. In particular, autonomous systems may falsely recognize assistive devices, deviant psychomotor conditions or behavioral pattern, individuals with visual or hearing impairments may not properly recognize clues given by such systems.

Public biometrics systems. Emerging public policies are starting to highlight these systems as an "unacceptable risk" category. It's true for both the general population and individuals with disabilities. In particular, co-occurring impairments, such as facial, voice or tactile differences may lead to errors or inability to properly recognize a person with disabilities. As a result, such individuals may be falsely rejected, alarmed or discriminated against by public systems, services or police;

Public and private systems perpetuating discrimination. Around 50-80% of the population with disabilities are not employed full-time. These existing social biases and distortions perpetuate how hiring, educational or screening systems may work toward individuals with

 $^{^{12}\} https://www.un.org/development/desa/disabilities/resources/factsheet-on-persons-with-disabilities/disability-and-employment.html$

disabilities. For instance, several hiring and job search platforms were alleged to discriminate¹³ against <u>elders</u> and <u>individuals with disabilities</u>, automated systems may add <u>negative</u> sentiments¹⁴ to "disability" keywords in resumes, exams or personal information;

Systems prone to misuse, and manipulative scenarios. Individuals with disabilities were victims of 26% of all nonfatal violent crimes, people with intellectual disabilities are seven times more likely to be assaulted. It's also known that such individuals <u>nearly 2.2 times more frequently become victims of violence</u>¹⁵, <u>disinformation</u>¹⁶, <u>social attacks</u>, <u>abuse or manipulation</u>. Social network algorithms, chatbots, messaging apps, and similar tools, despite their positive impact, can be intentionally or not intentionally misused by people. It includes scenarios of manipulation, abuse or digital attacks;

Systems prone to silos and/or evolving omissions. Typically not sufficiently covered by risk categorizations, non-actions (omissions)¹⁷ may present harm to people with disabilities. Many individuals with cognitive or physical impairment may increasingly use digital tools, assistive devices, remote working and learning platforms. Without proper human involvement and curriculums, such scenarios may lead to social isolation, silos, using tools trained on ingenuine or inconsistent data or sources;

Systems involving emotion recognition. Sometimes identified as "limited risk categories", such systems are widely used as the cornerstone of some assistive technologies, such as social and emotional AI and robotics, and educational and health-related solutions. Despite its positive role, the systems interpreting emotions <u>are known to be prone to bias</u>. In particular, such systems may fail to work properly in case of individuals with multiple impairments, particular behavioral and psychomotor patterns.

Policy, impact assessment and compliance

With more developments in the area of public AI policies, disability organizations such as EU Disability Forum, communities and public entities were vocal about the necessity to bring more focus to disability-specific cases, vocabulary and legal frameworks, ensure fairness, transparency, and explainability for these groups, address the prohibition of particular high-risk systems such law enforcement and biometric solutions.

 $^{^{13}\ \ \}text{https://www.eeoc.gov/newsroom/eeoc-sues-itutorgroup-age-discrimination}$

¹⁴ https://www.psu.edu/news/information-sciences-and-technology/story/ai-language-models-show-bias-against-people-disabilities/

¹⁵ https://disability.royalcommission.gov.au/news-and-media/media-releases/people-disability-face-much-greater-risk-violence-people-without-disability

¹⁶ https://www.ohchr.org/en/news/2023/03/le-comite-des-droits-des-personnes-handicapees-tient-un-debat-general-sur-les

¹⁷ https://link.springer.com/article/10.1007/s00146-022-01585-x

Taking into account, that historical discrimination against individuals with disabilities is the problem posed by institutional and social structures and biases first, it's important to develop solutions and policies accordingly. In particular, we should develop criteria and tools that both *support* the development of assistive technologies but also *ensure* their human-centricity, safety and proper guidance.

In order to *support* the development of such technologies, policy frameworks should address the complex nature of disability support, the spectrum of conditions, age groups. With more complexity of the adoption cycle, there is a need for guidelines and curriculums, involving a constant feedback loop between developers and patients, and disability-specific impact assessment. With the World Health Organization rising necessity to evolve <u>digital health</u> <u>competence framework</u>¹⁸, it's important to bring attention to disability and accessibility-specific terminology, vocabulary and knowledge frameworks. For instance, cognitive disabilities and autism-related conditions drive specific terminology related to <u>neurodivergent individuals</u>¹⁹ and the autism-disorders spectrum. Vocabulary becomes more complex due to the convergence of technical and social studies, more active involvement of bioethics-related professionals, and aspects of gender, age and ethnic groups (eg. Unicef's Accessible and Inclusive Digital Solutions for <u>Girls with Disabilities</u>²⁰, or <u>Al For Children</u>²¹)

Another group of tools and criteria is aimed to *ensure safety, avoid silos and misuse. It includes disability-specific categories of* high and unacceptable risk systems aligned with different scenarios, types of impairments and co-occurring conditions. It also involves approaches to compliance that take into account human involvement and the level of autonomy, categorization of actions and non-actions (omissions). Taking into account the specifics of vocabulary, diverse stakeholders and data input to approach aspects of fairness, transparency, explainability and accountability.

Finally, as was raised by the <u>International Red Cross</u>, even such complex issues as conflict displacement, and threats posed by autonomous military systems and weapons, do not sufficiently ensure the representation and actual participation of groups with disabilities that are especially affected by such systems. With more international conflicts, there is an immediate call to action to address these challenges with the involvement of all vulnerable groups.

 $^{^{18}\} https://human-resources-health.biomedcentral.com/articles/10.1186/s12960-022-00733-0$

¹⁹ https://assets.college.police.uk/s3fs-public/2021-06/neurodiversity-glossary-of-terms.pdf

 $^{^{20}\ \}text{https://www.unicef.org/reports/accessible-and-inclusive-digital-solutions-girls-disabilities}$

²¹ https://www.unicef.org/globalinsight/featured-projects/ai-children

Way forward. Disability-centered risk and impact assessment

Disability is not a monolith, but a spectrum, affected by layers of health conditions, gender, demographic, socio-economic and historical criteria. This complexity poses an important reminder that disability exclusion is a social issue first and only then - algorithmic. Existing Al policies and acts attempt to categorize and describe systems through primarily generalized visions of technologies, scenarios and posed risks. These categories do not address specific groups, physical or cognitive differences, unequal access to medical support or education, or economic status.

With more risks of emerging data silos and monopolization of AI development posed by corporate agents or governments, there is an emergent need for collective action to address disability representation in every conversation and policy development, proper risk and impact assessment categorization.

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